

# Scheduling

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## Today

- Introduction to scheduling
- Classical algorithms

## Next Time

- Process interaction & communication

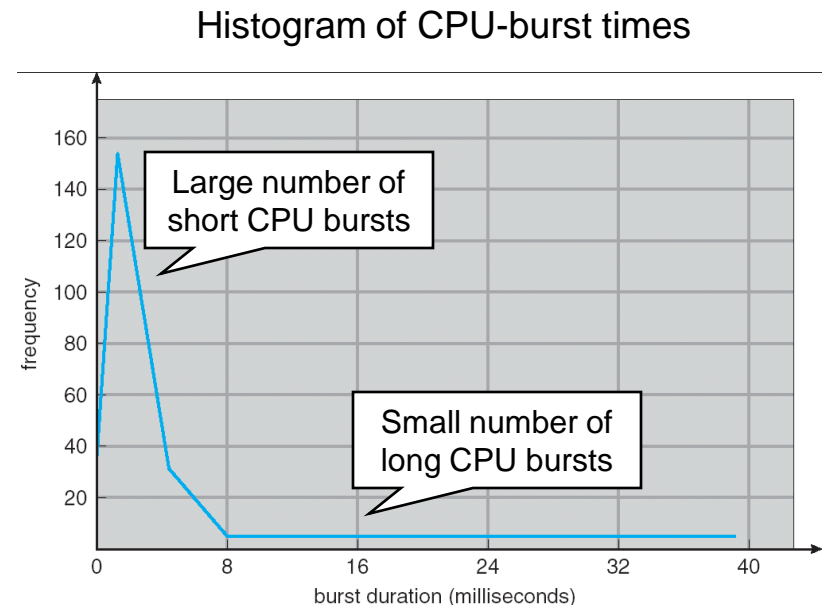
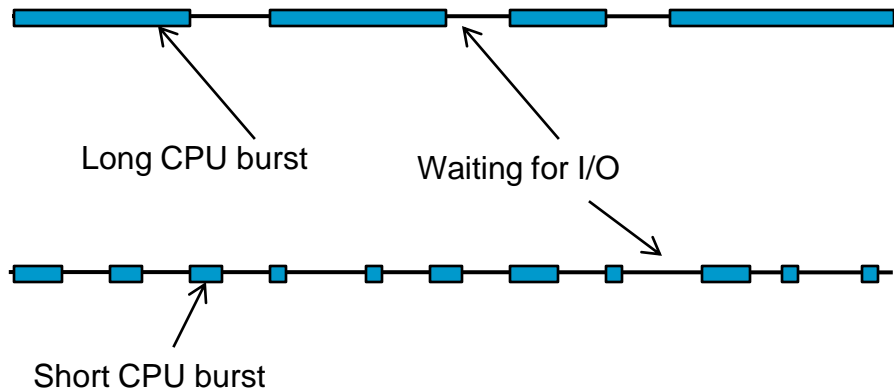
# Scheduling

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- Problem
  - Several ready processes & much fewer CPUs
- A choice has to be made
  - By the *scheduler*, using a *scheduling algorithm*
- Scheduling through time
  - Early batch systems – Just run the next job in the tape
  - Early timesharing systems – Scarce CPU time so scheduling is critical
  - PCs – Commonly one active process so scheduling is easy; with fast & per-user CPU scheduling is not critical
  - Networked workstations & servers – All back again, multiple ready processes & expensive CS, scheduling is critical

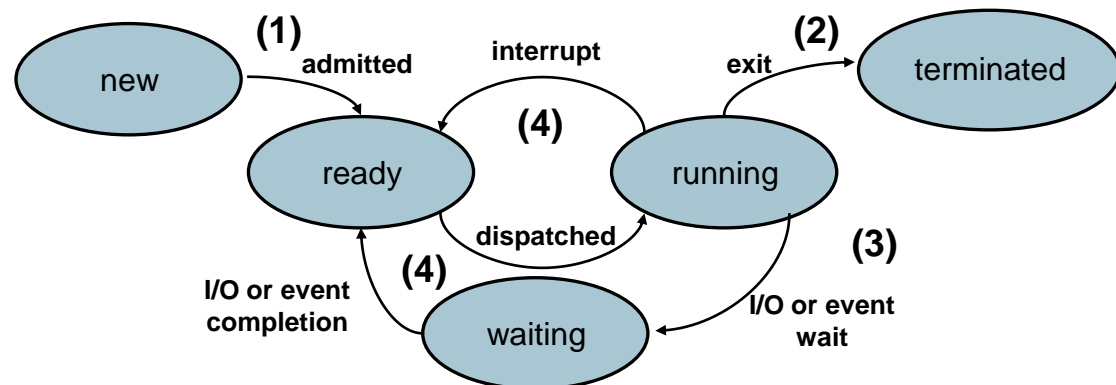
# Process behavior

- Bursts of CPU usage alternate with periods of I/O wait
  - A property key to scheduling
  - CPU-bound & I/O bound process
- As CPU gets faster – more I/O bound processes



# When to schedule?

- When?
  1. At process creation
  2. When a process exits
  3. When a process blocks on I/O, a semaphore, etc
  4. When an I/O interrupts occurs
  5. A fix periods of time – Need a HW clock interrupting
- Preemptive and non-preemptive
  - No-preemptive: An allocated CPU is not release until the process terminates or switches to waiting



# Environments and goals

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- Different scheduling algorithms for different application areas
- Worth distinguishing
  - Batch
  - Interactive
  - Real-time
- All systems
  - Fairness – comparable processes getting comparable service
  - Policy enforcement – seeing that stated policy is carried out
  - Balance – keeping all parts of the system busy (mix pool of processes)

# Environments and goals

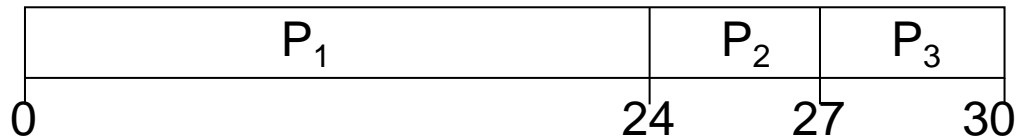
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- Batch systems
  - Throughput – max. jobs per hour
  - Turnaround time – min. time bet/ submission & termination
    - Waiting time – sum of periods spent waiting in ready queue
  - CPU utilization – keep CPU busy all time (*anything wrong?*)
- Interactive systems
  - Response time – respond to requests quickly (time to start responding)
  - Proportionality – meet users' expectations
- Real-time system
  - Meeting deadlines – avoid losing data
  - Predictability – avoid quality degradation in multimedia systems
- Average, maximum, minimum or *variance?*

# First-Come First-Served scheduling

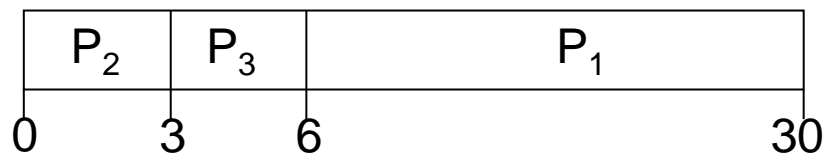
- First-Come First-Served (FCFS)
  - Simplest, easy to implement, non-preemptive
  - Problem:
    - 1 CPU-bound process (burst of 1 sec.)
    - Many I/O-bound ones (needing to read 1000 records)
    - Each I/O-bound process reads one block per sec!

Process	Burst Time
P1	24
P2	3
P3	3



Average waiting time:  
 $(0 + 24 + 27)/3 = 17$

Change order of arrival ....



Average waiting time = 3

# Shortest Job/Remaining Time First sched.

- Shortest-Job First

- Assumption – total time needed (or length of next CPU burst) is known

- Provably optimal

First job finishes at time a

Second job at time a + b

...

Mean turnaround time

$$(4a + 3b + 2c + d)/4$$



Biggest contributor

Job #	Finish time
1	a
2	b
3	c
4	d

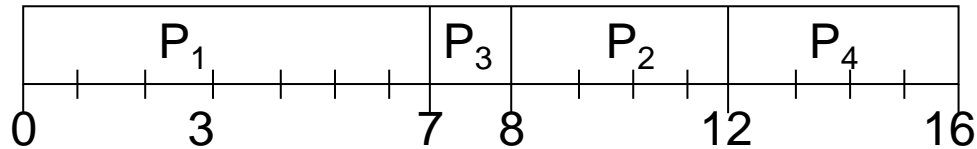
*Preemptive or not?*

- A preemptive variation – Shortest Remaining Time (or SRPT)



# SJF and SRT

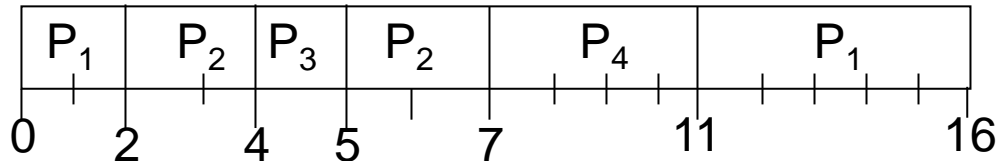
- SJF Non-preemptive



$$\text{avg. waiting time} = (0 + 6 + 3 + 7)/4 = 4$$

Process	Arrival	Burst Time
P1	0.0	7
P2	2.0	4
P3	4.0	1
P4	5.0	4

- SRT Preemptive



$$\text{avg. waiting time} = (9 + 1 + 0 + 2)/4 = 3$$

# Determining length of next CPU burst

- Can only *estimate* length
- Can be done using length of previous CPU bursts and exponential averaging

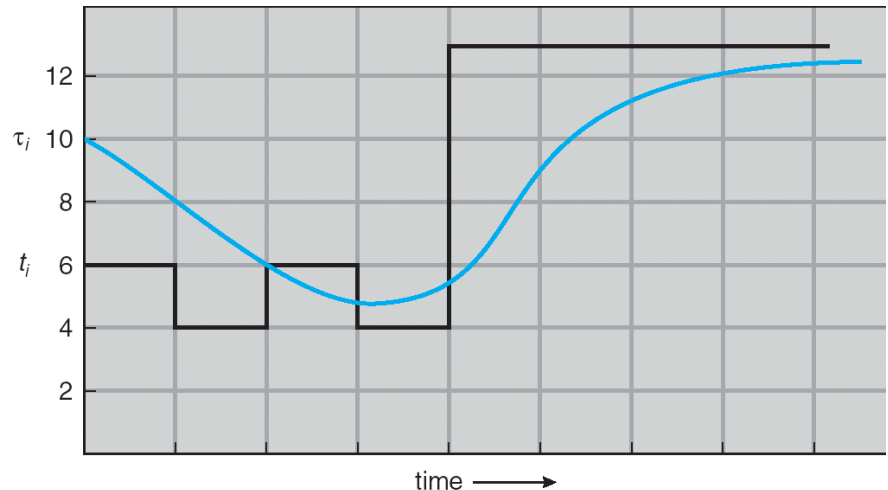
- $t_n$  = actual length of  $n^{\text{th}}$  CPU burst
- $\tau_{n+1}$  = predicted value for the next CPU burst
- $\alpha, 0 \leq \alpha \leq 1$
- Define :

$$\tau_{n+1} = \alpha t_n + (1 - \alpha) \tau_n$$

Weight of history
↓

↑
↑

Most recent information
Past history

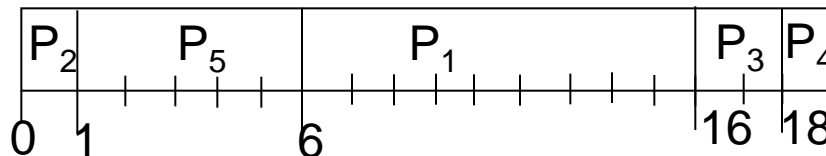


CPU burst ( $t_i$ )	6	4	6	4	13	13	13	...	
"guess" ( $\tau_i$ )	10	8	6	6	5	9	11	12	...

# Priority scheduling

- SJF is a special case of priority-based scheduling
  - Priority = reverse of predicted next CPU burst
- Pick process with highest priority (lowest number)
- Problem
  - Starvation – low priority processes may never execute
- Solution:
  - Aging → increases priority (Unix's nice)
  - Assigned maximum quantum

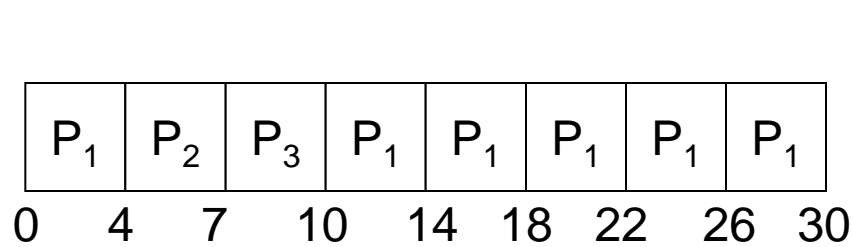
Process	Burst time	Priority
P1	10	3
P2	1	1
P3	2	4
P4	1	5
P5	5	2



$$\text{avg. waiting time} = (6 + 0 + 16 + 18 + 1)/5 = 8.2$$

# Round-robin scheduling

- Simple, fair, easy to implement, & widely-used
- Each process gets a fix *quantum* or *time slice*
- When quantum expires, if running preempt CPU
- With  $n$  processes & quantum  $q$ , each one gets  $1/n$  of the CPU time, no-one waits more than  $(n-1) q$



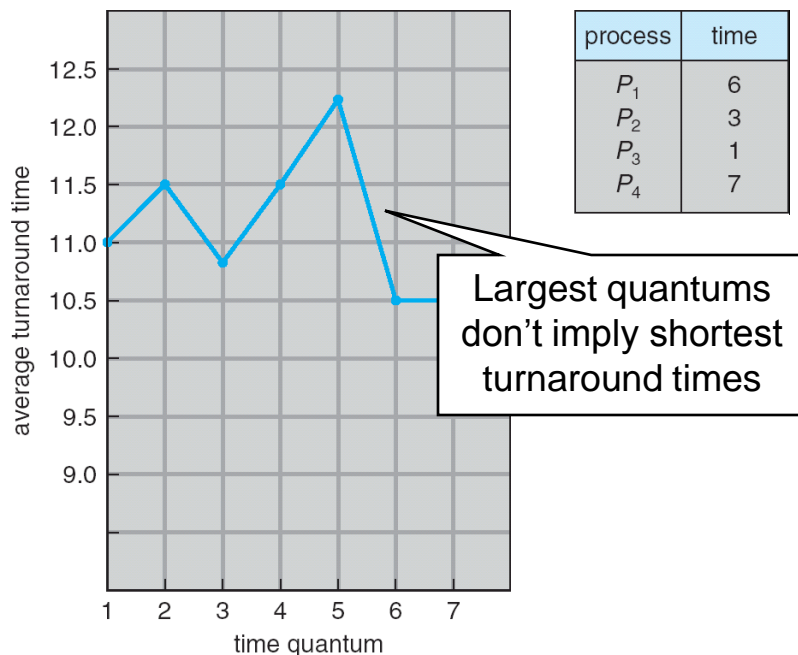
avg. waiting time =  $(6 + 4 + 7)/3 = 5.66$

Process	Burst Time
P1	24
P2	3
P3	3

*Preemptive or not?*

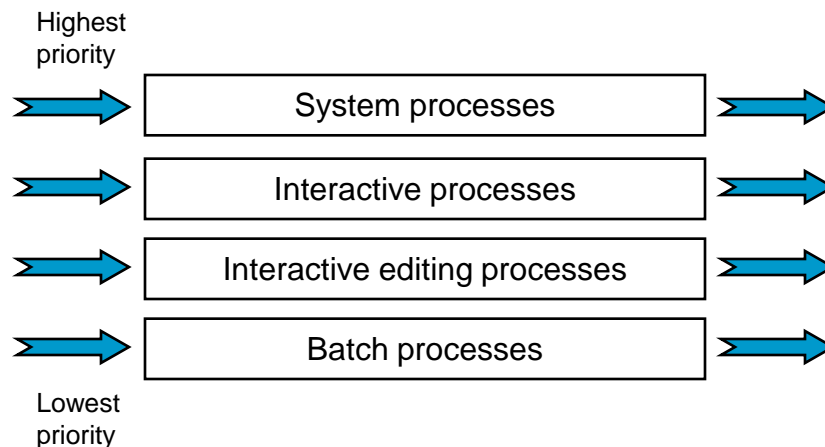
# Quantum & Turnaround time

- Length of quantum
  - Too short – low CPU efficiency (*why?*)
  - Too long – low response time (*really long, what do you get?*)
  - Commonly ~ 50-100 msec.



# Combining algorithms

- In practice, any real system uses some hybrid approach, with elements of each algorithm
- Multilevel queue
  - Ready queue partitioned into separate queues
  - Each queue has its own scheduling algorithm
  - Scheduling must be done between the queues
    - Fixed priority scheduling; (i.e., foreground first); starvation?
    - Time slice – each queue gets a certain amount of CPU time which it can schedule amongst its processes



# Multiple (feedback) queues

- Multiple queues, allow processes to move bet/ queues
- Example CTSS – Idea: separate processes based on CPU bursts
  - IBM 7094 had space for 1 process in memory (switch = swap)
  - Goals: low context switching cost & good response time
  - Priority classes: class  $i$  gets  $2^i$  quantas
  - Scheduler executes first all processes in queue 0; if empty, all in queue 1, ...
  - If process uses all its quanta → move to next lower queue (leave I/O-bound & interact. processes in high-priority queue)
  - What about process with long start but interactive after that?

Carriage-return hit → promote process to top class



# Some other algorithms

- Guaranteed sched. - e.g. proportional to # processes
  - Priority = amount used / amount promised
  - Lower ratio → higher priority
- Lottery scheduling – simple & predictable
  - Each process gets lottery tickets for resources (CPU time)
  - Scheduling – lottery, i.e. randomly pick a ticket
  - Priority – more tickets means higher chance
  - Processes may exchange tickets
- Fair-Share scheduling
  - Schedule aware of ownership
  - Owners get a % of CPU, processes are picked to enforce it



# Real-time scheduling

- Different categories
  - *Hard RT* – not on time ~ not at all
  - *Soft RT* – important to meet guarantees but not critical
- Scheduling can be static or dynamic
- Schedulable real-time system
  - $m$  periodic events
  - event  $i$  occurs within period  $P_i$  and requires  $C_i$  seconds

Then the load can only be handled if

$$\sum_{i=1}^m \frac{C_i}{P_i} \leq 1$$

P1:  $C = 50$  msec,  $P = 100$ msec (.5)

P2:  $C = 30$  msec,  $P = 200$ msec (.15)

P3:  $C = 100$  msec,  $P = 500$ msec (.2)

P4:  $C = 200$  msec,  $P = 1000$ msec (.2)

# Multiple-processor scheduling

- Scheduling more complex w/ multiple CPUs
- Asymmetric/symmetric (SMP) multiprocessing
  - Supported by most OSs (common or independent ready queues)
- Processor affinity – benefits of past history in a processor
- Load balancing – keep workload evenly distributed
  - Push migration – specific task pushes processes for balance
  - Pull migration – idle processor asks for/pulls work
- Symmetric multithreading (hyperthreading or SMT)
  - Multiple logical processors on a physical one
  - Each w/ own architecture state, supported by hardware
  - Shouldn't require OS to know about it (but could benefit from)

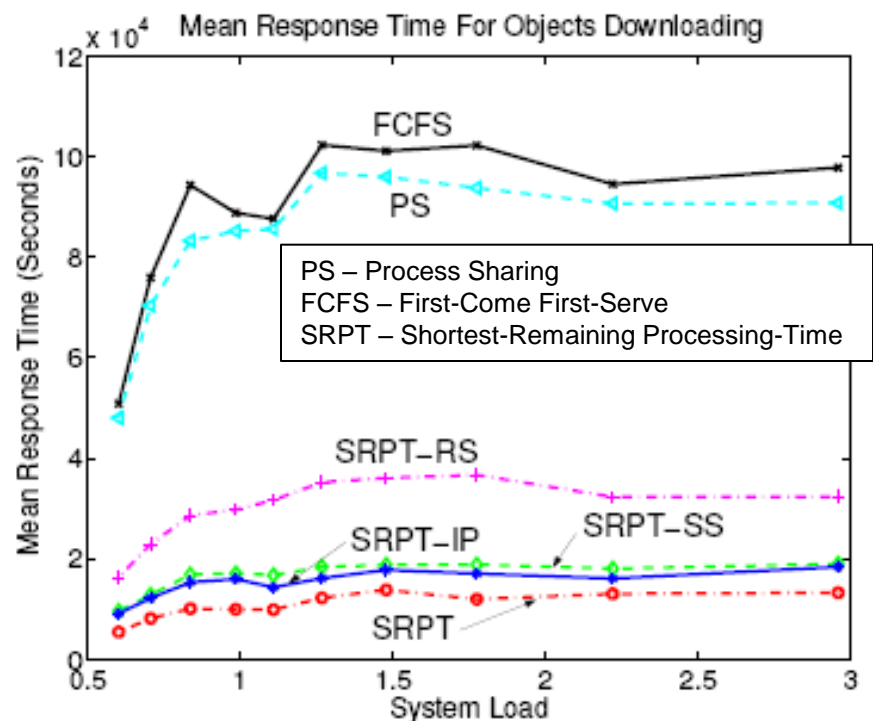
# Scheduling the server-side of P2P systems

- P2P users' response is dominated by download
  - >80% download requests in Kazaa are rejected due to capacity saturation at server peers
  - >50% of all requests for large objects (>100MB) take more than one day & ~20% take over one week to complete

- Most implementations use FCFS or PS

- *Apply SRPT!*  
Work from Northwestern

Mean response time of object download as a function of system load.



# Thread scheduling

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- Now add threads – user or kernel level?
- User-level (process-contention scope)
  - Context switch is cheaper
  - You can have an application-specific scheduler at user level
  - Kernel doesn't know of your threads
- Kernel-level (system-contention scope)
  - Any scheduling of threads is possible (since the kernel knows of all)
  - Switching threads inside same process is cheaper than switching processes

# Policy vs. mechanism

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- Separate what is done from how it is done
  - Think of parent process with multiple children
  - Parent process may know relative importance of children (if, for example, each one has a different task)
- None of the algorithms presented takes the parent process input for scheduling
- Scheduling algorithm parameterized
  - Mechanism in the kernel
- Parameters filled in by user processes
  - Policy set by user process
  - Parent controls scheduling w/o doing it

# Next time

- Process synchronization
  - Race condition & critical regions
  - Software and hardware solutions
  - Review of classical synchronization problems
  - ...

- *What really happened in Mars?*

[http://research.microsoft.com/~mbj/Mars\\_Pathfinder/Mars\\_Pathfinder.html](http://research.microsoft.com/~mbj/Mars_Pathfinder/Mars_Pathfinder.html)

